

ULTIMATE BENEFICIAL OWNER DECLARATION

[Place on the Applicant's letterhead]

[Date]¹

Apex Fund Services (Ireland) Limited ("Apex")
2nd Floor, Block 5
Irish Life Centre
Abbey Street Lower
Dublin DO1 P767
Ireland

Ultimate beneficial owner declaration

We confirm the below in regards to *[Insert Registered Investor Name]* (the "Applicant"), invested in *[Insert Fund Name]*.

Section 1 – Please confirm regarding ownership of Applicant

One or more Natural Person(s) (Individual) owns/controls directly or indirectly 25% or more of the investment as beneficial owner.

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Yes - provide details of the natural person(s) identified in the space provided below and proceed to section 3.

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No - proceed to section 2.

Full Name:

Date of Birth:

Place of birth:
(city, country)

Registered address:
(street, city, country of residence)

Nationality (all if several):

National ID number:

Percentage Holding (directly or indirectly 25% or more):

Source of wealth:

*Further information on Ultimate Beneficial Ownership information may be requested.

¹ Note to draft: it must be dated no more than three months before the Applicant's admission into the Fund.

Section 2 - Please confirm regarding control of the Applicant

Please provide details of one or more Natural Person(s) (Individual) with significant responsibility for managing the Applicant (e.g. a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, or Treasurer) or any other individual who regularly performs similar functions.

Full Name: _____

Date of Birth: _____

Place of birth:
(city, country) _____

Registered address:
(street, city, country of residence) _____

Nationality (all if several): _____

National ID number: _____

*Further information on Ultimate Beneficial Ownership information may be requested.

Section 3

☐

Tick this box if at least one of the individuals mentioned in section 1 or 2 (i.e. the Beneficial Owner or Controlling Person) or any authorised individual for the Applicant is considered a "Politically Exposed Person" (PEP)².

Full Name: _____

Date of Birth: _____

Position / name of entity _____

Place of birth (city, country): _____

Registered address:
(street, city, country of residence) _____

Nationality (all if several): _____

National ID number: _____

² A "Politically Exposed Person" is a person who performs important public functions, is a senior political figure or has been in the last 12 months. It includes but is not limited to heads of state, government and cabinet ministers, judges, senior party functionaries, military leaders, ruling members of royal families, government officials and those directly related to them

If there are any subsequent changes to the ownership or control structure set out above, we shall inform Apex as soon as reasonably practicable upon becoming aware of such change and supply such information as Apex requires in order to establish and prove the submitted details.

We confirm that we are not aware of any activities on the part of the Applicant that lead us to suspect that the beneficial owners are or have been involved in criminal conduct of money laundering. Should we subsequently become suspicious of any such activity then, subject to any legal constraints, we shall inform Apex and/or the relevant regulatory authorities accordingly.

The undersigned declares that the details given are true and correct in regards to the beneficial ownership and control structure of the Applicant.

Yours sincerely,

Authorised Signatory

Authorised Signatory